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### **Regular Article**

Title: Assessment of adverse events and near-misses during voluntary community-driven sports activities by community residents: A cross-sectional study

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Running Title: Adverse events and near-misses in community sports

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#### Abstract

Although physical activities have many health benefits, adverse events and near-misses, such as injuries and falls, can occur during these activities. This study aimed to assess the occurrence of adverse events and near-misses during sports activities conducted independently by community residents. A survey questionnaire was sent via the internet to the leaders or directors of sports organizations at six public sports centers or associations. In total, 108 individuals answered the survey, with 60% male and 40% female respondents. Individuals aged 50-69 years accounted for 60% of the total number of respondents. All respondents were asked about their experiences of adverse events and near-misses within the past three years: the reports of these incidents were obtained using the recall method. Duplicate adverse events and near-misses were identified based on the sports discipline; time of occurrence; and sex and age of person involved to determine if there were duplicate reports. Most of the respondents' activities as staff were performed once a month, with each activity lasting 1–2 h. Forty-five adverse events were reported, including 26 injuries, 13 falls, and 6 others (such as heat stroke, vertigo, and presyncope). Twenty-four near-misses were reported, including 12 near-collisions with people or objects, five near falls, and seven other incidents. We found that approximately 30% of the respondents experienced adverse events, suggesting the need for documentation of adverse events, implementation of safety measures, and proper safety education for operating staff.

## Keywords

athletic injuries, accidental falls, ankle sprain, heat stroke, recreation

タイトル

地域住民が主体的に実施するスポーツ活動中の有害事象やヒヤリハットの評価:横断 的研究

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#### 要旨

身体活動・運動は、健康上の利点が数多くある一方で活動中には傷害や転倒などの 有害事象およびヒヤリハットが発生している。本研究では、地域住民が主体的に実施 する身体活動・運動中に発生した有害事象およびヒヤリハットの発生状況を明らかに することを目的とした。調査方法は、6つの公共スポーツ団体・公共スポーツセンタ ーの運動指導者または運動支援者を対象にオンラインアンケートを実施した。オンラ インアンケートでは、過去3年間以内の有害事象・非谷内ハットについての経験を尋 ね、想起法を用いて報告を得た。報告された有害事象・ヒヤリハットについては、ス

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ポーツ種目、発生時期、関係者の性別・年齢をもとに重複報告がないかどうか確認し た。オンラインアンケートの回答者は 108 名で、男性 60%、女性 40%であった。50-69 歳の回答者が全体の 60%を占めた。回答者の運動指導者・運動支援者としての活動 は、ほとんどが月 1 回、1 回の活動時間は 1-2 時間で、有害事象は 45 件報告された。 有害事象の内訳は、けが 26 件、転倒 13 件、その他(熱中症、めまい、意識障害など) 6 件であった。また、ヒヤリハットは 24 件報告され、その内訳は、人や物と衝突し そうになった 12 件、転倒しそうになった 5 件、その他 7 件だった。この結果から、 回答者の約 30%が有害事象を経験していることがわかり、有害事象の記録を残す、 安全対策の実施、運営スタッフへの適切な安全教育の必要性が示唆された。

キーワード

スポーツ外傷・障害、転倒、足関節捻挫、熱中症、レクリエーション

#### 1 Introduction

The benefits of physical activity are numerous. The physical activity guidelines<sup>1,2</sup> reported positive effects of regular and moderate-intensity physical activity on mortality, cerebrovascular and coronary artery disease, hypertension, stroke, osteoporosis, type 2 diabetes mellitus, metabolic syndrome, obesity, some types of cancer, depression, cognitive functions, and falls.

On the other hand, musculoskeletal injuries, cardiovascular events, and sudden death have 7 been noted during these physical activities.<sup>2</sup> Reports of adverse events during sports activities 8 included those involving athletes<sup>3-5</sup> and relatively high-intensity exercise, such as 9 international athletic competitions.<sup>6-8</sup> They also included adverse events involving exercise 10 therapy at medical institutions,<sup>9,10</sup> those involving local residents such as students,<sup>11,12</sup> and 11 large-scale events such as marathons.<sup>13-15</sup> There have been reports on assessing adverse 12 13 events during independent sports activities. In studies involving athletes, the frequency of occurrence was presented as the number of athletic injuries per activity day or hour. However, 14 reports focusing on local residents are limited to school classes, extracurricular club activities, 15 and large-scale events. This may be because local residents engage in a wide variety of sports 16 activities as they gather together voluntarily and daily, making it difficult to accurately assess 17 the status of their activities. Therefore, the actual status of injuries, accidents, and other 18 adverse events during sports activities that were voluntarily and routinely done by local 19

20 community gatherings is unknown.

Regarding safety measures at sports sites, athletes and exercise therapy providers often have a full medical system for pre-exercise medical checkups and adverse events during activities due to their nature. However, in sports activities in group gatherings voluntarily conducted by local residents, it can be expected that medical systems might be insufficient. In addition, it is necessary to understand the actual situation and take safety measures accordingly, because it is assumed that there would be people who exercise with underlying diseases and a history of exercise injury.

28 There are several adverse effects that might occur during physical activity aside from the 29 effects on the physical and mental health of the person involved. According to health 30 behavior science, it has been pointed out that injuries and poor physical condition during physical activity can be the cause of slips (one-shot interruptions<sup>16</sup>), lapses (short-term 31 interruptions), and relapses (long-term interruptions<sup>17</sup>) of exercise habits.<sup>18</sup> From a 32 socioeconomic perspective, a study conducted in 2012 in the Netherlands reported that the 33 indirect costs of medical expenses and lost productivity due to sports-related injuries were the 34 third largest cost factor after household and traffic accidents.<sup>19</sup> 35

36 Sakae Ward, Yokohama City has been certified as a Safe Community, which is an 37 international certification since October 2013. Safe Community is a program in which 38 communities take to prevent accidents and injuries through cross-sectoral collaboration,

39	based on the idea that fatal accidents and injuries can be prevented by identifying their causes
40	The certification is granted after an assessment based on the indicators presented by the
41	International Non-Governmental Organization (NGO) Safe Communities Certification Center
42	(formerly World Health Organization [WHO] Collaborating Centre on Community Safety
43	Promotion). In Sakae Ward, subcommittees have been established for eight themes: (i) child
44	safety, (ii) sports safety, (iii) traffic safety, (iv) child abuse prevention, (v) safety for the
45	elderly, (vi) disaster safety, (vii) suicide prevention, and (viii) crime prevention. In addition,
46	the injury surveillance subcommittee has been collecting data through specialized measures. <sup>20</sup>
47	The authors, commissioned by Sakae Ward, Yokohama City, have been cooperated with the
48	Taskforce Committee for Sports Safety, Sakae Ward Safe Community, Yokohama City, to
49	investigate adverse events and implementing safety measures during voluntary sports
50	activities by Sakae Ward residents since 2019. Therefore, this study aimed to evaluate the
51	occurrence and trends of adverse events and near-misses during community-driven physical
52	and sports activities in groups that were voluntarily conducted by Sakae Ward residents. This
53	evaluation was based on a questionnaire survey conducted by the Taskforce Committee for
54	Sports Safety, Sakae Ward Safe Community, Yokohama City.

55

# 56 Methods

57 This is a descriptive epidemiological study that analyzed data from an online questionnaire.

58

#### 59 Participants

The questionnaire targeted leaders and directors who were active in the member 60 organizations of the Taskforce Committee for Sports Safety, Sakae Ward Safe Community, 61 Yokohama City. The leader mainly conducts sports coaching and on-site management, while 62 63 the director mainly supports the leader. The member organizations were the following: Sakae Ward Sports Association, Sakae Ward Sports Promotion Committee, Sakae Ward Youth 64 Instructors Council, Sakae Ward Sawayaka Sports Promotion Committee, Nonprofit 65 organization (NPO) Sakae Sports Club, and Sakae Sports Center. 66 The research team analyzed the data provided by Sakae Ward from the results of 67 68 questionnaires administered to the survey participants. The 108 respondents who completed 69 the survey were included in the study. Figure 1 summarizes the process for selecting analysis

adverse events and near misses. This study was conducted upon the approval of the Ethical
Review Committee of Sports Medicine Research Center at Keio University (approval
number: 2020-03).

Fig. 1. Flowchart of selecting analysis adverse events and near misses

74

75 Questionnaire

76 The online questionnaire form, created using Yokohama City electronic application system,

was sent by e-mail through the Taskforce Committee for Sports Safety, Sakae Ward Safe
Community, Yokohama City. The response period was from November 2020 to January 2021.
The questions were designed to ask about experiences of adverse events and near-misses
during sports activities within the past 3 years.

There were three main questions. The first question was about the personal attributes of the 81 82 respondents (leaders and directors): organization, age, gender, sports discipline, exercise teaching experience, qualifications held, frequency of activities as leader/director, and 83 number of people taught per session. The second question was about experience of adverse 84 85 events: presence or absence of occurrence, circumstances at the time of occurrence, response after the occurrence, age, gender, and sports discipline of the person concerned. The third 86 87 question was about near-misses: presence or absence of occurrence, circumstances of 88 occurrence, age, sex, and sports discipline of the person concerned. Details of these survey 89 items are given in Appendix Table 1. For those survey targets who were not familiar with the 90 online questionnaire, Sakae Ward Office staff interviewed them by phone and entered responses on their behalf. After excluding personal information, the survey results were 91 received by a research team at the Sports Medicine Research Center, Keio University, for data 92 93 analysis. Note that sports activities in this study include physical activities such as walking and recreational activities such as athletic events. With reference to the definition used in the 94 95 Japanese report<sup>21</sup>, adverse events were defined as any unfavorable events, such as accidents,

96 injuries, or illnesses that occurred to participants physically or mentally during exercise or 97 physical activity. Furthermore, near-misses were defined as incidents that did not cause physical or mental harm to participants, but caused a "near-miss" or "aha" experience for 98 instructors or supporters in the field of practice.<sup>21</sup> 99 100 101 Statistical analysis Data were described in terms of frequency (%) for nominal scale data, and  $\chi^2$  test or Fisher's 102 exact probability test was performed as necessary. Proportional scale data, such as years of 103 experience, were expressed as medians (interquartile range). The number of adverse event 104 occurrences per 10 activities and per 1000 activity h were obtained by calculating the number 105 106 of activities (times/month), activity h (hours/hour), and number of people/activity (persons/h) 107 based on the activities of the leader/director who reported the adverse event. The 108 questionnaire results were analyzed using IBM SPSS Statistics ver. 27 (IBM, Chicago, IL). 109 110 Results Attributes of survey respondents (leaders and directors) 111

A questionnaire survey addressed leaders and directors who were active in the member
organizations of the Taskforce Committee for Sports Safety, Sakae Ward Safe Community,
Yokohama City. As a result, 108 respondents were recruited. Sixty-five (60.2%) respondents

115	were men and 43 (39.8%) were women. The age distribution of the respondents was 31
116	(28.7%) in their 50–59 of age and 35 (32.4%) in their 60–69, showing that the respondents in
117	this survey were relatively older adults. In terms of the positions of the respondents, the
118	leaders who were mainly involved in teaching and on-site management accounted for 60.2%
119	(39 men [36.1%] and 26 women [24.1%]) in 65 cases. The directors who were mainly
120	involved in supporting leaders accounted for 38.0% (25 men [23.1%] and 16 women
121	[14.8%]) in 41 cases. Moreover, the number of respondents who were in their 60s accounted
122	for 32.4% (35), and 2 (2%) athletes and were in other fields. Recreation, including athletic
123	event, was the most common sports practiced by the survey respondents (16 cases [14.8%]),
124	followed by badminton (13 cases [12%]) (Table 1).
125	Table 1. Attributes of the respondents to the online questionnaire by sex and age
126	
127	Regarding the respondents' experience in attending seminars on guidance and support for
128	sports activities (including lifesaving seminars), 87 respondents (80.6%) answered that they
129	had attended such seminars. Of these, 54 respondents (50.0%) had attended less than once a
130	year, 30 (27.7%) had attended approximately once a year, and three (2.7%) had attended

more than once a year. Fifty-seven respondents (52.8%) held some type of certification, with
35 respondents (32.4%) indicating that they held certifications that included lifesaving
training (first-aid lifesavers; health and exercise instructors; and lifesaving training

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134	certificates provided by the Japanese Red Cross Society and the fire department). The other
135	22 cases (20.3%) held instructor certifications in the respective sports disciplines.
136	The median activity history as a leader and director of the respondents was 10.0 (5.0-20.0)
137	years in men and 11.5 (3.3–20.0) years in women. The frequency of activity was 1.0 (1.0–4.0)
138	times/month in men and 4.0 (2.0-8.8) times/month in women. The duration of activity was
139	2.0 (2.0-3.0) hours/time in men and 2.0 (1.3-2.0) hours/time in women. In addition, the
140	number of participants per activity was 20.0 (15.0-30.0) in men and 19.0 (14.0-33.0) in
141	women (Table 2). More than 100 participants responded that they participated in recreation,
142	Sawayaka Sports (Boccia etc.), badminton, and walking.
143	Table 2. Activities of the respondents to the online questionnaire
144	
145	Adverse events
146	Of the 108 survey respondents, there were 45 reports of adverse events over the past 3 years,
147	from which, two reports were obtained from 11 respondents. By sports discipline, eight cases

148 (17.7%) were reported in soccer, seven (15.5%) in recreation, and six (13.3%) in softball.

149 Among men, eight cases (17.7%) were reported in soccer, seven (15.5%) in recreation, and

150 six (13.3%) in softball. Among women, four cases (8.8%) were reported in volleyball (Table

151 3). Adverse events included "injury" in 26 cases (57.8%), "fall" in 13 cases (28.9%), and

<sup>152</sup> "other" in six (13.3%). In terms of response after an adverse event, all cases were handled in

some way, and there were no cases in which the initial response to an adverse event could not
be made. Of the 45 adverse events, four cases (8.9%) (two injuries, one fall, and one other)
were transported to emergency rooms and 10 cases (22.2%) (nine injuries and one fall) to
hospitals.

157 **Table 3.** Number of adverse events stratified by sports discipline, sex, and age

158 Considering the possibility that differences in the background of the leaders/directors 159 according to their experience in attending courses and their qualifications may have affected 160 reporting adverse events, a  $\chi^2$  test was conducted. The results showed no statistically 161 significant differences (experience in training courses p = 0.80, qualifications p = 0.21).

The overall number of adverse events was 0.07 occurrences per 10 activities and 0.75 occurrences per 1,000 h of activity. Recreation was the sports with the highest number of incidents per number of activities, with 0.49 incidents per 10 activities. Table tennis was the sports with the highest number of incidents per activity hour, with 4.17 incidents per 1,000 activity h (Table 4).

All tendon injuries involved the Achilles tendon, with two occurring in men aged 40–49 and three in women aged 50–59. Among sports adverse events, three cases occurred in volleyball, one in badminton, and one in soccer. Ankle sprains occurred in one case each in soccer among male and female participants younger than 10 years, one in volleyball among women aged 50–59 years, and one in table tennis among women aged 60–69 years. Concussions and

172	chest and back contusions occurred during soccer, with a small number of occurrences.
173	However, concussions occurred in children under 10 years of age playing soccer. Contusions
174	mostly involved the chest and back in children under 10 years of age playing soccer.
175	Table 4. Frequency of adverse events by sports discipline (calculated values)
176	
177	Injuries
178	Regarding the 26 injuries classified as "injury" in the adverse event category, the lower leg
179	was the most common site of injury in eight cases, followed by the ankle/foot in four, and the
180	wrist/hand in four. In terms of the classification of "injury" based on a diagnostic name, there
181	were six cases of sprains, five of tendon injuries, four of fractures, and four of separated flesh.
182	Considering diagnoses, Achilles tendon injuries and ankle sprains occurred most frequently in
183	five and four cases, respectively (Table 5).
184	Table 5: Body part and type of injuries
185	
186	Falls
187	Among the events classified as "falls" in the adverse event category, 11 occurred during
188	sports activities and two during transportation; six occurred in the 70-79 age group and one
189	in the 80-89 age group, with 53.8% of falls occurring in the 70-79 age group or older (Table
190	6).

191 **Table 6**. Detailed circumstances of the fall cases

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193 Near-misses

Regarding occurrence of near-misses over the past 3 years, 24 near-misses were reported, 194 from which, one respondent reported two cases. The 24 near-misses were categorized into 12 195 cases of near collision with person-to-person or person-to-object, five cases of near fall, and 196 seven other cases, based on a close examination of the events descriptions. The other seven 197 198 cases included three cases of near fall head-first to the floor during gymnastics, one case of 199 an arrow from a person's head hitting the glasses worn during Kyudo (Japanese archery). 200 These seven cases also included one case of performing an action different from the program 201 without following the instructions. They also comprised one case of a participant with 202 chronic illness and one case of a junior high school student throwing a metal ball into the 203 middle of a crowd during petanque.

Fisher's exact probability and  $\chi^2$  tests were performed to determine the possibility that the reporting of near-misses might have been affected by the experience of leaders and directors in attending training courses and by the presence or absence of their qualifications. The results showed no statistically significant differences (experience in attending training courses, p = 1.00; qualifications, p = 0.24).

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#### 210 **Discussion**

This study was conducted using data from a survey targeting leaders and directors in Sakae Ward in 2020 as part of Safe Community, using a cross-sectoral collaboration program to prevent accidents and injuries. It aimed to evaluate adverse events and near-misses during sports activities in groups. The results of this study provided an indication of the occurrence and frequency of adverse events and near-misses during physical and sports activities in groups, which were performed voluntarily and routinely by local residents.

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### 218 Attributes of survey respondents

The survey respondents comprised of 60% leaders and 40% directors. The age groups were 50–59 (30%) and 60–69 (30%) years of age, and more than half of the respondents were in the 60–69 age group or older. This may be due to the fact that Sakae Ward has 31.3% of the total population aged 65 and above, making it the most aged ward among the 18 wards of Yokohama City. Recreation and badminton accounted for 15% and 12%, respectively, of the sports the respondents performed.

The median length of time in which the respondents had been active was 10 years, and 80.6% of respondents had attended courses related to their sporting activities. More than half of the respondents had certifications related to sports activities. However, only 32.4% of them had certifications including lifesaving courses. Given that sports-related sudden deaths have been

reported in surveys conducted in the general population in France<sup>22</sup>, it is suggested that all 229 instructors and support personnel need to be trained in cardiopulmonary resuscitation (CPR). 230 It has been reported that the emergency action plan (EAP) should be rehearsed at least once a 231 year, and should specify responses to various types of adverse events that may occur and 232 appropriate emergency transportation routes.<sup>23</sup> Although the training status of safety 233 management during sports activities among leaders and directors could affect the accuracy of 234 reporting adverse events and near-misses, no statistically significant difference was found in 235 this study. This may be related to the longer activity history of the participants in this study. 236 The median duration of each activity was 2 h in both men and women, and the number of 237 participants per activity was about 20 in both men and women. 238

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240 Analysis of adverse events

241 Of the 108 survey respondents, approximately 30% reported experiencing adverse events.

242 The adverse events were classified as 26 injuries, 13 falls, and six others.

243 In a report on injuries during sports activities, Kamada et al.<sup>11</sup> surveyed Japanese students

244 (12–18 years) and reported that the injuries occurred most commonly in the lower extremities

245 (15.4%). In addition, a systematic review<sup>24</sup> of epidemiological studies of sports injuries 246 including ankle sprains reported that ankle sprains were a major injury in many sports

disciplines. In this study, 57.7% of the injuries occurred in the lower extremities (the

248 ankle/foot, lower leg, knee, thigh, and hip), with ankle sprains accounting for 4 of 26 injuries (15.3%). This suggests that many injuries occurred in the lower limbs in voluntary exercise 249 by Sakae Ward residents, and that the main injury was likely to be an ankle sprain, which is 250 consistent with the findings of previous studies. According to the National Athletic Trainers' 251 Association position statement<sup>25</sup>, prevention of ankle sprains and their recurrence requires the 252 253 use of taping/supporters, a comprehensive approach with emphasis on balance and neuromuscular control. It is recommended to continue rehabilitation for at least 3 months, to 254 strengthen and improve the peri-ankle, hip extensor, and abductor muscle groups, and to 255 reduce ankle dorsiflexion range of motion limitation. It is also important to include an 256 appropriate warm-up prior to the main exercise, such as the warm-up program for injury 257 prevention represented by the FIFA11+<sup>26</sup> published by the Federation Internationale de 258 Football Association. 259

Regarding post-injury response, rest, ice, compression, and elevation (RICE) treatment, is widely known as a common response in sports settings, and icing has been shown to be effective in reducing post-injury pain.<sup>27</sup> Considering lower extremity injuries, especially ankle sprains, which are expected to occur more frequently, preventive training, warm-up before main exercise, and measures at the time of injury should be taken as necessary.

A descriptive epidemiological study using data from the National Database of Health
 Insurance Claims and Specific Health Checkups of Japan<sup>28</sup> reported that 44% of Achilles

267	tendon ruptures occurred in patients aged 40-59 years. In this study, all cases of Achilles
268	tendon injuries occurred in the 40-49 and 50-59 age groups. Achilles tendon injuries are
269	thought to be caused by tendon degeneration and uncoordinated muscle activity. <sup>29</sup> It has been
270	reported that even in trained athletes, exercise intensity with a ratio of the last week's
271	cumulative load (acute load) to the last four weeks' cumulative load (chronic load) (Acute
272	Chronic Workload Ratio [ACWR]) of 0.8 to 1.3 minimizes the risk of injury. However, an
273	ACWR above 1.5 is reported to be in the danger zone. <sup>30,31</sup> Therefore, we suggest that rapid
274	exercise and exercise intensity that is too high is a risk for injury, and that daily physical
275	activity and exercise can prevent inappropriate muscle contraction and improper movement.
276	On the other hand, one concussion and one chest contusion in participants under 10 years of
277	age that could result in a commotio cordis were identified in soccer, with a small number of
278	reports. Concussions are caused by direct or indirect external trauma to the head. Since the
279	symptoms and recovery process of concussion vary from one individual to another, the return
280	to competition must be halted for a while until symptoms are evaluated. <sup>32</sup> In particular, in
281	young athletes, the return-to-play process should be more conservative, taking into account
282	the effects on the developing brain damage. <sup>32</sup> Commotio cordis is the second most common
283	cause of sudden cardiac death in young athletes <sup>33</sup> , and measures such as installation of
284	Automated External Defibrillators (AED), CPR training, and the development of EAPs
285	should be considered.

286	Thirteen adverse events classified as falls were reported: 11 during sports activities and two
287	during transportation. The two cases that occurred during transportation included a case of a
288	man aged 80-89 years who fell at the entrance of an archery range, and the other case was
289	that of a male participant aged 10 years or younger slipped and fell while running. Seven of
290	the 13 falls (53.8%) occurred in participants aged 70-79 years or older. Risk factors for falls
291	include age over 80 years, history of previous falls, poor balance, muscle weakness, poor
292	vision, and medication use. <sup>34</sup> Therefore, we suggest that falls can be prevented through daily
293	health checks such a blood pressure, a body temperature measurement, and hearing about age
294	history of falls, and implementation of multi-component exercises <sup>35</sup> that have been shown to
295	be effective in preventing falls. Furthermore, it is also important to consider environmental
296	factors such as poor floor conditions, inappropriate shoes and clothing, and too much dark
297	lighting in the activity area.
298	Four out of six (67%) of the other adverse events were suspected to be heat stroke, since all

cases occurred between July and September, which are the summer months in Japan. A study analyzing data on emergency medical evacuations for heat stroke in Fukuoka City, Japan, indicated that the older adults aged 70–79 years or older were most susceptible to heat stroke.<sup>36</sup> In this study, three out of four suspected heat stroke cases (75%) were in the 70–79 age group. According to the American College of Sports Medicine consensus statement<sup>37</sup>, prehospital management of heat stroke should include early recognition. The importance of the following three measures is demonstrated: (i) early recognition, (ii) immediate cooling, and (iii) transport to a medical facility. In this study, the response to all four cases of suspected heat stroke was observation (including transfer to a cooler location and rehydration), clearly indicating the need for on-site training in the initial assessment of heat stroke, ice and ice baths for cooling the body, and preparation of a list of medical facilities to which the patient could be transported.

The frequency of adverse events calculated in this study was 0.07 per 10 activities and 0.75 311 per 1,000 h of activity. Recreation was the sports activities with the highest number of 312 occurrences per number of activities, with one adverse event occurring in every 20 activities. 313 The sports with the highest number of incidents per activity hour was table tennis, with one 314 315 incident per 250 h of activity. Studies reporting adverse events during sports activities performed by community residents are limited. However, a prospective cohort study<sup>38</sup> 316 conducted in Finland reported 1.2 walking injuries per 1,000 h of activity based on data 317 collected through interviews. Compared to the present study, the number of injuries was 0.63 318 per 1,000 h, which was approximately half of the result yielded in the previous study. In 319 320 activities other than walking, the number of adverse events in the present study is estimated to be lower than that in the study by Parkkari et al.<sup>38</sup> This may be due to differences in study 321 methods, demographic attributes of participants, and intensity of sports activities. It should 322 323 also be noted that the number of occurrences in this study was calculated based on the

activities of instructors and supporters who reported adverse events that may be far from the true number of occurrences. However, the information on the frequency of adverse events revealed in this study may be useful for the prevention and countermeasures of adverse events during physical activity.

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329 Analysis of near-misses

Approximately 30% of the respondents reported near-miss experiences. A close examination 330 331 of the 24 near-misses reported in this study revealed that 12 involved near-collisions between person-to-person or person-to-object, five involved near falls, and seven involved others that 332 333 occurred during gymnastics. There are games that always have the potential for collisions and 334 falls, such as contact between a baseball batter and an infielder or a fall during gymnastics. 335 Therefore, it is important to provide safe technical instruction and create an environment that prevents accidents related to the characteristics of the game. In addition, there were some 336 cases that required improvement in participant management and reminders during exercise, 337 such as when participants did not follow the leader's instructions, a participant had a chronic 338 339 illness, or a participant threw a petanque ball into the presence of others. Since leaders/directors have a duty of care for safety, it is important for them to thoroughly explain 340 and check the safety of participants prior to their participation in exercise. Medical screening 341 by physicians prior to participation in ultramarathon events has been reported to be effective 342

in preventing adverse events.<sup>13</sup> However, it is not realistic for all community residents to be
checked by a physician prior to sports activities in their daily activities. Therefore, we suggest
that it would be important for leaders/directors and participants themselves to confirm
screening prior to exercise participation, such as using the Physical Activity Readiness
Questionnaire.<sup>39</sup>

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349 Limitations

This study might be affected by recall bias because the adverse events and near-misses that 350 occurred in the past 3 years were surveyed by questionnaire. In fact, the number of adverse 351 events and near-misses reported was higher in 2019 and 2020. Furthermore, in 2020, due to 352 353 the worldwide pandemic of novel coronavirus infection (COVID-19), a state of emergency was declared in Japan. The Japanese government called on the public to refrain from going 354 out from April 7 to May 31 in Sakae Ward, Yokohama City. Even after the state of emergency 355 was declared, there were restrictions on the use of public sports facilities from the summer to 356 the fall of 2020. Therefore, the number of activities in 2020 was likely lower than in previous 357 years. Third, this study was conducted only in Sakae Ward. In addition, sports leaders and 358 directors belonging to the six organizations that make up the Taskforce Committee for Sports 359 Safety, Sakae Ward Safe Community, Yokohama City were the participants of this study, 360 whereas the current status of physical activity conducted by organizations other than these or 361

by individuals remains unknown. Selection bias may have influenced the results. Thus, there might be limitations to the generalizability and representativeness of this study. However, there are 13 cities in Japan that have received Safe Community certification<sup>40</sup>, and it is expected that similar initiatives are being conducted in other municipalities. We suggest that researchers can contribute to the creation of a safe and secure environment for physical activity and exercise for local residents by getting involved in surveys and initiatives that local governments are proactively implementing.

369

#### 370 Conclusion

This study investigated the occurrence of adverse events and near-misses during 371 372 community-driven group sports activity in Sakae Ward. The results revealed the attributes 373 and activities of the leaders and directors involved in the management of sports activities. 374 The adverse events included "injuries," "falls," and "others" such as heat stroke. The near-misses revealed situations that could have become adverse events. Although the 375 frequency of adverse events and near-misses in this study was low, the fact that 376 approximately 30% of the survey respondents experienced adverse events suggests the need 377 for documentation of adverse events, implementation of safety measures, and proper safety 378 education for operating staff. Preventive measures should be taken against the risks 379 highlighted in the results of this study, and an EAP should be prepared in the event of an 380

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388

## 389 Conflict of Interests

390 The authors declare that there are no conflicts of interests.

391

#### 392 Author Contributions

393 AH and YO designed the study. AH analyzed the data and drafted the manuscript. YO and

394 TH edited and revised the manuscript. All authors approved the final version of the

395 manuscript for submission.

- **Data Statement:** The datasets generated and analyzed during the current study are available
- 397 from the corresponding author on reasonable request.

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NPO: Nonprofit organization

Fig. 1: Flowchart for selecting analysis adverse events and near misses

#### Table 1: Attributes of the respondents to the online questionnaire by sex and age

		Male, n (%)									Female, n (%)								
Age	20-29	30-39	40-49	50-59	60-69	70-79	80-89	Total	20-29	30-39	40-49	50-59	60-69	70-79	80-89	Total			
Position																			
Leader		1 (0.9)	4 (3.7)	8 (7.4)	13 (12.0)	11 (10.2)	2 (1.9)	39 (36.1)		3 (2.8)	2 (1.9)	7 (6.5)	9 (8.3)	4 (3.7)	1 (0.9)	26 (24.1)			
Director	1 (0.9)		4 (3.7)	7 (6.5)	9 (8.3)	3 (2.8)	1 (0.9)	25 (23.1)	1 (0.9)		2 (1.9)	9 (8.3)	3 (2.8)	1 (0.9)	0' (0.0)	16 (14.8)			
Other <sup>a</sup>					1 (0.9)			1 (0.9)		1 (0.9)						1 (0.9)			
Total	1 (0.9)	1 (0.9)	8 (7.4)	15 (13.9)	23 (21.3)	14 (13.0)	3 (2.8)	65 (60.2)	1 (0.9)	4 (3.7)	4 (3.7)	16 (14.8)	12 (11.1)	5 (4.6)	1 (0.9)	43 (39.8)			
Organization																			
Sakae Ward Sports Association	1 (0.9)	1 (0.9)	3 (2.8)	9 (8.3)	7 (6.5)	9 (8.3)	2 (1.9)	32 (23.1)	1 (0.9)	1 (0.9)		5 (4.6)	6 (5.6)	3 (2.8)	1 (0.9)	17 (15.7)			
Sakae Ward Sports Promotion Committee			1 (0.9)					1 (0.9)		3 (2.8)	4 (3.7)	8 (7.4)	3 (2.8)	2 (1.9)		20 (18.5)			
Sakae Ward Youth Instructors Council			2 (1.9)	5 (4.6)	9 (8.3)	2 (1.9)		18 (16.7)								0 (0.0)			
Sakae Ward Sawayaka Sports Promotion Committee			2 (1.9)	1 (0.9)	7 (6.5)			10 (9.3)				1 (0.9)	1 (0.9)			2 (1.9)			
NPO Sakae Sports Club						1 (0.9)	1 (0.9)	2 (1.9)				2 (1.9)	1 (0.9)			3 (2.8)			
Sakae Sports Center						2 (1.9)		2 (1.9)								0 (0.0)			
Other <sup>b</sup>								0 (0.0)					1 (0.9)			1 (0.9)			
Total	1 (0.9)	1 (0.9)	8 (7.4)	15 (13.9)	23 (21.3)	14 (13.0)	3 (2.8)	65 (60.2)	1 (0.9)	4 (3.7)	4 (3.7)	16 (14.8)	12 (11.1)	5 (4.6)	1 (0.9)	43 (39.8)			
Sports																			
Recreation (Athletic meeting etc.)			2 (1.8)	4 (3.7)	8 (7.4)			14 (12.9)				1 (0.9)	1 (0.9)			2 (1.8)			
Badminton			1 (0.9)	2 (1.8)	1 (0.9)		1 (0.9)	5 (4.6)			1 (0.9)	4 (3.7)	2 (1.8)			7 (6.4)			
Table tennis				1 (0.9)		1 (0.9)		2 (1.8)	1 (0.9)				4 (3.7)	1 (0.9)	1 (0.9)	7 (6.4)			
Gymnastics			1 (0.9)			1 (0.9)		2 (1.8)			2 (1.8)	3 (2.7)	1 (0.9)			6 (5.5)			
Sawayaka Sports (Boccia etc.)			1 (0.9)	1 (0.9)	2 (1.8)	3 (2.7)		7 (6.4)		1 (0.9)						1 (0.9)			
Baseball			2 (1.8)	1 (0.9)	1 (0.9)	1 (0.9)	1 (0.9)	6 (5.5)								0 (0.0)			
Soccer		1 (0.9)		3 (2.7)		1 (0.9)		5 (4.6)								0 (0.0)			
Soft tennis					3 (2.7)			3 (2.7)						1 (0.9)		1 (0.9)			
Softball					3 (2.7)	1 (0.9)		4 (3.7)								0 (0.0)			
Volleyball								0 (0.0)				3 (2.7)	1 (0.9)			4 (3.7)			

Walking					1 (0.9)	1 (0.9)		2 (1.8)						1 (0.9)		1 (0.9)
Dance sports								0 (0.0)		1 (0.9)		1 (0.9)		1 (0.9)		3 (2.7)
Kyudo (Japanese archery)						2 (1.8)		2 (1.8)								0 (0.0)
Kendo						1 (0.9)		1 (0.9)								0 (0.0)
Tennis						1 (0.9)		1 (0.9)								0 (0.0)
Gateball							1 (0.9)	1 (0.9)								0 (0.0)
Ttug of war					1 (0.9)			1 (0.9)								0 (0.0)
Bowling					1 (0.9)			1 (0.9)								0 (0.0)
Target bird golf						1 (0.9)		1 (0.9)								0 (0.0)
Powerlifting				1 (0.9)				1 (0.9)								0 (0.0)
Other <sup>c</sup>	1 (0.9)		1 (0.9)	2 (1.8)	2 (1.8)			6 (5.5)		2 (1.8)	1 (0.9)	3 (2.7)	3 (2.7)	1 (0.9)		10 (9.2)
Total	1 (0.9)	1 (0.9)	8 (7.4)	15 (13.8)	<b>23 (21.2</b> )	14 (12.9)	3 (2.7)	65 (60.1)	1 (0.9)	4 (3.7)	4 (3.7)	15 (13.8)	12 (11.1)	5 (4.6)	1 (0.9)	42 (38.8)

a: Other (2): participant, athlete, b: Other (1): Neighborhood association (Tai Chi), c: Others (16): Yoga, athletics, yachting, petanque, golf, event support, preventive care exercise, Pilates, infant physical education, tai chi, aerobics, etc.

The empty cells represent 0 (0%).

#### Table 2: Activities of the respondents to the online questionnaire

	-	
	Male	Female
Activity history (years)	10.0 (5.0 - 20.0)	11.5 (3.3 - 20.0)
Activity frequency (times/month)	1.0 (1.0 - 4.0)	4.0 (2.0 - 8.8)
Activity duration (hours/session)	2.0 (2.0 - 3.0)	2.0 (1.3 - 2.0)
Number of participants (persons/session)	20.0 (15.0 - 30.0)	19.0 (14.0 - 33.8)

Median (interquartile range), Respondents: Leader, Director, Other (participant, athlete)

Activity history: Number of years of exercise teaching experience

Activity frequency: Approximate number of activities per month

Activity duration: Approximate duration per activity

Number of participants: Approximate number of persons per sports activity

Table 3: Number of adverse events by sport discipline, sex, and age

						Male, n (%	%)										Female, n	(%)				
Age	-9	10-19	20-29	30-39	40-49	50-59	60-69	70-79	80-89	Unknown	Total	-9	10-19	20-29	30-39	40-49	50-59	60-69	70-79	80-89	Unknown	Total
Sports																						
Soccer	3 (6.6)	3 (6.6)			2 (4.4)						8 (17.7)											0 (0.0)
Recreation (Sports Day etc.)	1 (2.2)				1 (2.2)		1 (2.2)	1 (2.2)		3 (6.6)	7 (15.5)											0 (0.0)
Softball					3 (6.6)		1 (2.2)	2 (4.4)			6 (13.3)											0 (0.0)
Soft tennis										2 (4.4)	2 (4.4)								2 (4.4)			2 (4.4)
Volleyball											0 (0.0)						4 (8.8)					4 (8.8)
Walking							1 (2.2)	2 (4.4)			3 (6.6)								1 (2.2)			1 (2.2)
Badminton					1 (2.2)						1 (2.2)					1 (2.2)	1 (2.2)					2 (4.4)
Table tennis										1 (2.2)	1 (2.2)							1 (2.2)	1 (2.2)			2 (4.4)
Baseball		2 (4.4)									2 (4.4)											0 (0.0)
Kyudo (Japanese archery)									1 (2.2)		1 (2.2)											0 (0.0)
Target bird golf								1 (2.2)			1 (2.2)											0 (0.0)
Other					1 (2.2)						1 (2.2)	1 (2.2)										1 (2.2)
Total	4 (8.8)	5 (11.1)	0 (0.0)	0 (0.0)	8 (17.7)	0 (0.0)	3 (6.6)	6 (13.3)	1 (2.2)	6 (13.3)	33 (73.3)	1 (2.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (2.2)	5 (11.1)	1 (2.2)	4 (8.8)	0 (0.0)	0 (0.0)	12 (26.6)

The empty cells represent 0 (0%).

			Number of		Number of	Average		Number of
	Adverse		activities	Number of	occurrences	activity time	Total activity	occurrences
	events	Respondents	(activities	activities	per 10	(hours per	time over 3	per 1,000
	(cases)	(persons)	per month)	over 3 years	activities	activity)	years	hours
Soccer	8	5	46	1,656	0.05	2.6	21,528	0.37
Recreation (Athletic meeting etc.)	7	4	4	144	0.49	3.8	2,160	3.24
Softball	6	4	11	396	0.15	2.8	4,356	1.38
Walking	4	3	16	576	0.07	3.7	6,336	0.63
Volleyball	4	2	28	1,008	0.04	2.0	4,032	0.99
Soft tennis	4	3	16	576	0.07	3.7	6,336	0.63
Table tennis	3	2	5	180	0.17	2.0	720	4.17
Badminton	3	3	10	360	0.08	5.0	5,400	0.56
Baseball	2	2	18	648	0.03	6.0	7,776	0.26
Target bird golf	1	1	12	432	0.02	2.0	864	1.16
Kyudo (Japanese archery)	1	1	4	144	0.07	2.0	288	3.47
Other	2	2	2	72	0.28	2.0	288	6.94
All	45	32	172	6,192	0.07	3.2	60,084	0.75

#### Table 4: Frequency of adverse events by sports discipline (calculated values)

Number of activities over 3 years = Number of reported activities (activities per month) \*12 months \*3 years

Number of occurrences per 10 activities = (number of adverse events/ number of activities over 3 years) \*10

Average activity time (hours per activity) = [Sum of each activity time (hours per activity) reported in the same sport discipline]/ [number of reports in the same sport discipline]

Total activity time over 3 years = reported activity time (hours per activity) \*number of activities over 3 years

Number of occurrences per 1,000 hours = (number of adverse events/ total activity time over 3 years) \*1,000

Table 5: Body pa	art and type of	injuries, n (%)
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	Sprain	Tendon injury	Bone fractures	Muscle injury	Chronic injury	Concussion	Skin Injuries	Bruises	Total
Lower leg		5 (19.3)	1 (3.9)	2 (7.7)					8 (30.8)
Foot joint/sufficient	4 (15.4)								4 (15.4)
Hand joints/hands	1 (3.9)		2 (7.7)				1 (3.9)		4 (15.4)
Elbow					1 (3.9)			2 (7.7)	3 (11.6)
Head/Face						1 (3.9)	1 (3.9)		2 (7.7)
Front Wrist			1 (3.9)						1 (3.9)
Chest/Back								1 (3.9)	1 (3.9)
Femoral joint				1 (3.9)					1 (3.9)
Thighs				1 (3.9)					1 (3.9)
Knee	1 (3.9)								1 (3.9)
Total	6 (23.1)	5 (19.3)	4 (15.4)	4 (15.4)	1 (3.9)	1 (3.9)	2 (7.7)	3 (11.6)	26 (100)

The empty cells represent 0 (0%).

Table 6: Detailed circumstances of the fall cases

	Sex	Age	Sports	Place	Details
1	Male	40-49	Recreation	Schoolyard	Stumbled over uneven ground.
2	Male	40-49	Softball	Baseball ground	Fell over while picking up the ball.
3	Male	40-49	Softball	Baseball ground	Fell while running for a base.
4	Male	40-49	Recreation	Schoolyard	Fell down just before passing the baton in a relay race.
5	Male	70-79	Walking	Road	Stumbled over a step.
6	Male	70-79	Soft tennis	Tennis court	Fell forward while chasing a ball.
7	Male	70-79	Soft tennis	Tennis court	Fell down while picking up a short ball.
8	Female	70-79	Soft tennis	Tennis court	Fell backwards while trying to hit the ball.
9	Male	70-79	Recreation	Parks/sports fields	Slipped and fell while running.
10	Male	70-79	Recreation	Parks/sports fields	Fell while running in the parent participation event at the Sports Day.
11	Male	Unknown	Recreation	Schoolyard	Fell while running.
12	Male	-9	Badminton	Building entrance	Slipped on the floor while running and fell.
13	Male	80-89	Kyudo (Japanese archery)	Building entrance	Tripped and fell on a step in a doorway.

## [Appendix Table 1] Questionnaire items

	Question Item		Response Method
Que	stions about responders (Le	eader and Dire	ector)
1	Age	Selective	10-19, 20-29, 30-39, 40-49, 50-59, 60-69, 70-79, 80-89, 90-99, others
2	Sex	Selective	Male, Female
			Sakae Ward Sports Association, Sakae Ward Sports Promotion Committee,
3	Organization	Selective	Sakae Ward Youth Instructors Council, NPO Sakae Sports Club, Sakae Sports
			Center, Sakae Ward Sawayaka Sports Promotion Committee
4	Activity Location	Descriptive	
			Kendo, Kyudo (Japanese archery), Baseball, Volleyball, Table tennis, Tennis,
			Soft tennis, Badminton, Softball, Karate, Gymnastics, Basketball, Walking,
5	Sports discipline	Selective	Soccer, Gateball, Tug of war, Bowling, Target bird golf, Dance sports,
			Powerlifting, Recreation (Athletic event etc.), Sawayaka Sports (boccia etc.),
			Other
6	Position:	Selective	Leader Director Other
Ū	Leader/Director*	Sciedure	
7	History of Activities as	Descriptive	Number (vear)
	Leader/Director	F	() )
8	Qualifications	Descriptive	
9	Experience of attending	Selective	No experience, less than once a year, about once a year, More than once a
	seminars		year, Other
10	Frequency of Activities as	Descriptive	Number (times/month)
	Leader/Director		
11	Activity time	Descriptive	Number (hours/time)
12	Number of participants	Descriptive	Number (persons/time)
Que	stions about adverse event	S	
13	Occurrence and type of	Selective	Not occurring, Fall, Chest pain, Aggravation of chronic disease, Injury, Other
	adverse events		
14	Year	Selective	2017, 2018, 2019, 2020, Don't remember
15	Month	Selective	January, February, March, April, May, June, July, August, September, October,
4.5	c		November, December, don't remember
16	Sex	Selective	Male, Female
17	Age	Selective	-9, 10-19, 20-29, 30-39, 40-49, 50-59, 60-69, 70-79, 80-89, 90-99, Unknown
			Kendo, Kyudo (Japanese archery), Baseball, Volleyball, Table tennis, Tennis,
10	Create dissipline	Coloctivo	Soft tennis, Badminton, Softball, Karate, Gymnastics, Basketball, Walking,
18	Sports discipline	Selective	Soccer, Gateball, Tug of War, Bowling, Target bird golf, Dance sports,
			Powerlifting, Recreation (Athletic event etc.), Sawayaka Sports (boccia etc.),
			Ouner
19	Location	Selective	grounds, Dark, Other
			Brounds, Fait, Other
20	Response at the time	Selective	aid, Hospital visit, Emergency transport. Other

21	Details of response	Descriptive	
22	Situation at the time of the fall	Descriptive	
23	Part of injury	Selective	Head/face, neck, chest/back, abdomen/back, hip joint, thigh, knee joint, lower leg, foot joint/foot, shoulder joint, upper arm, elbow joint, forearm, forearm, joint/part
24	Name of injury	Descriptive	(Name of disease)
25	Situation at time of injury	Descriptive	
26	Another case	Descriptive	
Que	stions about near-misses		
27	Occurrence and year of near- misses	Selective	Not occurring, 2017, 2018, 2019, 2020, Don't remember
28	Month	Selective	January, February, March, April, May, June, July, August, September, October, November, December, don't remember
29	Sex	Selective	Male, Female
30	Age	Selective	-9, 10-19, 20-29, 30-39, 40-49, 50-59, 60-69, 70-79, 80-89, 90-99, Unknown
31	Sports discipline	Selective	Kendo, Kyudo (Japanese archery), Baseball, Volleyball, Table tennis, Tennis, Soft tennis, Badminton, Softball, Karate, Gymnastics, Basketball, Walking, Soccer, Gateball,Tug of war, Bowling, Target bird golf, Dance sports, Powerlifting, Recreation (Athletic event etc.), Sawayaka Sports (boccia etc.), Other
32	Location	Selective	Gymnasium, Soccer field, Baseball field, Tennis court, Training gym, Other grounds, Park, Other
33	Details of the near-miss incident	Descriptive	
34	Another case	Descriptive	

\*Leader: a person who mainly conducts sports coaching and on-site management; Director: a person who mainly supports the leader